

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**  
**(Case No. 15258US03)**

In the Application of:

A. Rofougaran et al.

U.S. Serial No.: 09/695,715

Filed: October 23, 2000

For: ADAPTIVE RADIO TRANSCEIVER

Examiner: Marceau Milord

Group Art Unit: 2618

Conf. No.: 2742

Customer No.: 23446

**CERTIFICATE OF TRANSMISSION**

I hereby certify that this correspondence is being transmitted via EFS-Web to the United States Patent and Trademark Office on September 24, 2008.

/Michael T. Cruz/

Michael T. Cruz

Reg. No. 44,636

**REQUEST FOR A CORRECTED FILING RECEIPT**

Mail Stop Missing Parts  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Applicant respectfully requests that the filing receipt for the above-identified case be corrected as follows:

In the section entitled "Domestic Priority data as claimed by applicant", please amend as set forth below:

This application is a CIP of 09/634,552 08/08/2000

Said 09/634,552 claims benefit to and priority from the following U.S. provisional applications:

60/160,806 10/21/1999

60/163,487 11/04/1999

60/163,398 11/04/1999

U.S. Application No. 09/695,715, filed October 23, 2000  
Attorney Docket No. 15258US03  
Request for Corrected Filing Receipt dated September 24, 2008

60/164,442 11/09/1999  
60/164,194 11/09/1999  
60/164,314 11/09/1999  
60/165,234 11/11/1999  
60/165,239 11/11/1999  
60/165,356 11/12/1999  
60/165,355 11/12/1999  
60/172,348 12/16/1999  
60/201,335 05/02/2000  
60/201,157 05/02/2000  
60/201,179 05/02/2000  
60/202,997 05/10/2000  
60/201,330 05/02/2000

This Application 09/695,715 also claims benefit to and priority from the following U.S. provisional applications:

60/160,839 10/21/1999  
60/163,488 11/04/1999  
60/163,780 11/05/1999  
60/164,446 11/09/1999  
60/164,987 11/11/1999

A copy of the Official Corrected Filing Receipt dated July 23, 2007 reflecting proposed changes is attached hereto as well as a copy of the first two (2) pages of the Supplemental Amendment previously filed on October 31, 2001 in the present application.

U.S. Application No. 09/695,715, filed October 23, 2000  
Attorney Docket No. 15258US03  
Request for Corrected Filing Receipt dated September 24, 2008

A corrected filing receipt is respectfully requested.

The Commissioner is hereby authorized to charge any required fees, any fee deficiencies or to credit any overpayments to the deposit account of McAndrews, Held & Malloy, Account No. 13-0017.

Date: September 24, 2008

Respectfully submitted,

/Michael T. Cruz/

Michael T. Cruz

Reg. No. 44,636

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## UNITED STATES PATENT AND TRADEMARK OFFICE

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APPLICATION NUMBER	FILING or 371(c) DATE	GRP ART UNIT	FIL FEE REC'D	ATTY DOCKET NO	
09/695,715	10/23/2000	2618	3774	40593/CAG/B600	

CONFIRMATION NO. 2742

CORRECTED FILING RECEIPT

23446

MCANDREWS HELD & MALLOY, LTD  
500 WEST MADISON STREET  
SUITE 3400  
CHICAGO, IL 60661

Date Mailed: 07/23/2007

Receipt is acknowledged of this non-provisional patent application. The application will be taken up for examination in due course. Applicant will be notified as to the results of the examination. Any correspondence concerning the application must include the following identification information: the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections

**Applicant(s)**

Ahmadreza Rofougaran, Marina del Rey, CA;  
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Brima Ibrahim, Los Angeles, CA;  
Stephen Wu, Los Angeles, CA;  
Shervin Moloudi, Los Angeles, CA;

**Power of Attorney:** The patent practitioners associated with Customer Number 23446**Domestic Priority data as claimed by applicant**

This application is a CIP of 09/634,552 08/08/2000  
which claims benefit of 60/160,806 10/21/1999  
and claims benefit of 60/163,487 11/04/1999  
and claims benefit of 60/163,398 11/04/1999  
and claims benefit of 60/164,442 11/09/1999  
and claims benefit of 60/164,194 11/09/1999  
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and claims benefit of 60/165,234 11/11/1999  
and claims benefit of 60/165,239 11/11/1999  
and claims benefit of 60/165,356 11/12/1999  
and claims benefit of 60/165,355 11/12/1999  
and claims benefit of 60/172,348 12/16/1999

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and claims benefit of 60/201,335 05/02/2000

and claims benefit of 60/201,157 05/02/2000

and claims benefit of 60/201,179 05/02/2000

and claims benefit of 60/202,997 05/10/2000

This application 09/695,715

claims benefit of 60/160,839 10/21/1999

and claims benefit of 60/163,488 11/04/1999

and claims benefit of 60/163,780 11/05/1999

and claims benefit of 60/164,446 11/09/1999

and claims benefit of 60/164,987 11/11/1999

*and claims benefit of 60/201,330 05/02/2000*

## Foreign Applications

**If Required, Foreign Filing License Granted:** 12/15/2000

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is  
**US09/695,715**

**Projected Publication Date:** None, application is not eligible for pre-grant publication

**Non-Publication Request:** No

**Early Publication Request:** No

**Title**

ADAPTIVE RADIO TRANSCEIVER

**Preliminary Class**

455

## PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at <http://www.uspto.gov/web/offices/pac/doc/general/index.html>.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, <http://www.stopfakes.gov>. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

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## **LICENSE FOR FOREIGN FILING UNDER**

### **Title 35, United States Code, Section 184**

### **Title 37, Code of Federal Regulations, 5.11 & 5.15**

#### **GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Bureau of Industry and Security, Department of Commerce (15 CFR parts 730-774); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

#### **NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

COPY PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

*I hereby certify that this correspondence is being deposited with the U.S. Postal Service as first class mail in an envelope addressed to Commissioner of Patents and Trademarks, Washington, D.C. 20231 on October 31, 2001.*

C. Magallón  
C. Magallón

Applicant : Ahmadreza Rofougaran, et al.  
Application No. : 09/695,715  
Filed : October 23, 2000  
Title : AN ADAPTIVE RADIO TRANSCEIVER  
Grp./Div. : 2681  
Examiner : To be assigned  
Docket No. : 40593/CAG/B600

SUPPLEMENTAL PRELIMINARY AMENDMENT

Assistant Commissioner for Patents  
Washington, D.C. 20231

Post Office Box 7068  
Pasadena, CA 91109-7068  
October 31, 2001

Commissioner:

Before examination please amend the above-identified application, as follows:

In the Specification:

Please replace the paragraph beginning at page 1, line 1, with the following rewritten paragraph:

CROSS-REFERENCE TO RELATED APPLICATION

The present application is a continuation-in-part of Application No. 09/634,552; filed August 8, 2000, which claims priority to provisional Application No. 60/160,806, filed October 21, 1999; Application No. 60/163,487, filed November 4, 1999; Application No. 60/163,398, filed November 4, 1999; Application No. 60/164,442, filed November 9, 1999; Application No. 60/164,194, filed November 9, 1999; Application No. 60/164,314, filed November 9, 1999; Application No. 60/165,234, filed November 11, 1999; Application No. 60/165,239, filed November 11, 1999;

Application No. 60/165,356; filed November 12, 1999; Application No. 60/165,355, filed November 12, 1999; Application No. 60/172,348, filed December 16, 1999; Application No. 60/201,335, filed May 2, 2000; Application No. 60/201,157, filed May 2, 2000; Application No. 60/201,179, filed May 2, 2000; Application No. 60/202,997, filed May 10, 2000; Application No. 60/201,330, filed May 2, 2000. The priority of these applications is hereby claimed under 35 U.S.C. §§119(e), 120. The present application also claims priority under 35 U.S.C. §119(e) to provisional Application No. 60/160,839, filed October 21, 1999; Application No. 60/163,488, filed November 4, 1999; Application No. 60/163,780, filed November 5, 1999; Application No. 60/164,446, filed November 9, 1999; and Application No. 60/164,987, filed November 11, 1999. All these applications are expressly incorporated herein by referenced as though fully set forth in full.

REMARKS

Attached hereto is a marked-up version of the changes made to the specification and claims by the current amendment. The attached page is captioned "Version with markings to show changes made."

Respectfully submitted,

CHRISTIE, PARKER & HALE, LLP

By Craig A. Gelfound  
Craig A. Gelfound  
Reg. No. 41,032  
626/795-9900

CAG/cam